Docket No. 101-27-015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Douglas W. Hagan

Serial No. 10/799,393

Group Art Unit: 2875

Examiner: Unknown

Filed: March 12, 2004

WITH **FIXTURE** Title: IN-GRADE LIGHT

ALIGNMENT LEVELING AND

MECHANISMS, INSTALLATION FEATURES AND ANTI-CONDENSATION

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Sir: Pursuant to 37-C.F.R.§§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the filing of the above referenced application or before the mailing date of a first Office Action on the merits for the above-referenced application.

In accordance with the PTO procedure, (all patent applications filed after June 30, 2003), we have not enclosed the U.S. patent references cited on PTO form 1449.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art

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against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,

Dated: $\frac{9/27/69}{}$

Jaye G. Heybl /

Registration No. 42,661 Attorney for Applicant

KOPPEL, JACOBS, PATRICK & HEYBL 555 St. Charles Drive, Suite 107 Thousand Oaks, California 91360 (805) 373-0060 U:\U\U\I\101-27-015 IDS-1.97(b).doc

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| Docket No. | Application Number |
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| 101-27-015 | 10/799,393 |
| Applicant DOUGLAS W. HAGEN | |
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| Hagen, Douglas W. | |
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Sheet 3

| FORM PTO-1449 (Modified) | Docket No. 101-27-015 | Application Number 10/799,393 |
|---|--------------------------------|----------------------------------|
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION | Applicant Hagen, Douglas W. | |
| (Use several sheets if necessary) | Filing Date 03/12/04 | Group Art Unit 2875 |

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Application Number 10/799,393 Docket No. FORM PTO-1449 (Modified) 101-27-015 INFORMATION DISCLOSURE CITATION Applicant IN AN APPLICATION Hagen, Douglas W. Group Art Unit 2875 Filing Date 03/12/04 (Use several sheets if necessary)

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